



Docket No. NEC01P175-JNb

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of:

Takefumi OGUMA

Serial No.: 09/963,531

Group Art Unit: 2613

Filing Date: September 27, 2001

Examiner: Dalzid E. Singh

For: OUTPUT MONITOR/CONTROL APPARATUS AND OPTICAL  
COMMUNICATION SYSTEM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SECOND REQUEST FOR CORRECTED SUBMISSION OF INFORMATION**  
**DISCLOSURE STATEMENT AND CONSIDERATION OF INFORMATION**  
**DISCLOSURE STATEMENT, AND STATEMENT OF SUBSTANCE OF**  
**INTERVIEW**

Sir:

Applicant respectfully requests submits that on August 18, 2003 an Information Disclosure Statement (IDS) was filed (a copy of the IDS, corresponding form PTO-1449 and date-stamped attorney's post card filing receipt are included herewith for the Examiner's convenience). However, the IDS has not yet been acknowledged by the Examiner, nor has the IDS been placed on record in the present application.

On November 1, 2005, United States Patent Office Examiner Edith Chang contacted Applicant's representative to check the status of United States Patent Application Serial No. 09/963,351 (which is not being handled by Applicant's representative).

After further reviewing the prosecution history of Application Serial No. 09/963,351, Examiner Chang indicated that Applicant's IDS filed on August 18, 2003 (in the present application) was erroneously entered into the prosecution history of Application Serial No. 09/963,351.

Applicant respectfully requests that the IDS filed on August 18, 2003 be correctly entered into the prosecution history of United States Patent Application Serial No.

Application No. 09/963,531  
Docket No. NEC01P175-JNb

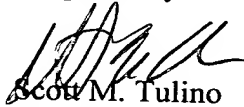
**09/963,531**. Furthermore, Applicant respectfully requests the Examiner to consider the IDS filed August 18, 2003.

Applicant again points out that this submission is merely a request for corrected submission and consideration of the IDS filed on August 18, 2003. This submission is not an Information Disclosure Statement.

Since this error is due to a mistake by the United States Patent and Trademark Office, no fee is included herewith.

The Commissioner is hereby authorized to charge and deficiency in fees or to credit any overpayment to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,



Scott M. Tulino  
Registration No. 48,317  
Sean M. McGinn  
Registration No. 34,386

Date: July 11, 2006

**MCGINN INTELLECTUAL PROPERTY**

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OAK: 090  
SMAITHS

# Attorney's Post Card Filing Receipt

Serial Number: 091 963, 531

Papers Filed On: 9/15/06

Attorney's Docket Number: NEOPIK-5

☒ Patent

☐ Trademark

Applicant's Name: Cuma

Application Filing Date: 9/27/01

Papers Filed Herewith:

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Amendment            | <input type="checkbox"/> Request for Extension of Time              | <input type="checkbox"/> CPA Request          |
| <input type="checkbox"/> Notice of Appeal     | <input type="checkbox"/> Appeal Brief (in triplicate)               | <input type="checkbox"/> Reply Brief          |
| <input checked="" type="checkbox"/> IDS       | <input checked="" type="checkbox"/> 1449 Form w/ <u>6</u> Documents | <input type="checkbox"/> Priority Document(s) |
| <input type="checkbox"/> Assignment           | <input type="checkbox"/> Recordation Cover Sheet                    | <input type="checkbox"/> Formal Drawings      |
| <input type="checkbox"/> Drawings Corrections | <input type="checkbox"/> Issue Fee Transmittal                      | <input type="checkbox"/> Missing Parts        |

☒ Other Japanese C. related 7-8 - 3/4 partial English  
+ translation.

Fees Filed Herewith: \$            ☐ Check ☐ Charge Deposit Account:           

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(Use several sheets if necessary)

**Takefumi Oguma**

FILING DATE  
September 27, 2001

GROUP  
2661

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	2001-24274	01/26/00	JAPAN			ABS	

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.